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Dennis C. Bremer  
Honeywell International, Inc.  
101 Columbia Road  
P.O. Box 2245  
Morristown NJ 07962-2245

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**OCT 21 2003**

In re Application of  
Sullivan, Rau, Adkins, and Hughes  
Application No.: 10/054,374  
Filed: January 22, 2002  
Attorney Docket No: Honeywell No. A11-26110US  
For: HIGH DENSITY 3-D INTEGRATED  
CIRCUIT PACKAGE

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**OFFICE OF PETITIONS**

DECISION ACCORDING  
RULE 47(a) STATUS

This is in response to the petition under 37 CFR 1.47(a), filed January 22, 2002.

The petition is **GRANTED**.

The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

The above-cited application was filed on January 22, 2002, and was accompanied by a declaration executed by only inventors Sullivan, Adkins, and Hughes. The petition notes that inventor Rau is deceased and petitioner has been unable to secure the signature of inventor Rau's heir, Chris Rau, or Mr. James Rau's legal representative, on the oath or declaration. Among the application papers was the instant petition requesting status be accorded under 37 CFR 1.47(a) and a statement authored by Dennis C. Bremer detailing the efforts made to secure the signature of Mr. Chris Rau, or Mr. James Rau's legal representative.

Petitioner has shown that Mr. Chris Rau has constructively refused to execute the oath or declaration on behalf of inventor Rau and that the legal representative, if any, is unavailable. The above-identified application and papers have been reviewed and found in compliance with 37 CFR 1.47(a). This application is hereby accorded Rule 1.47(a) status.

As provided in Rule 1.47(c), this Office will forward notice of this application's filing to the non-signing inventor at the addresses given in the petition. Notice of the filing of this application will also be published in the Official Gazette.

This application will be forwarded to the Office of Initial Patent Examination for further processing.

Telephone inquiries should be directed to the undersigned at (703) 305-0010.

*Kenya A. McLaughlin*

Kenya A. McLaughlin  
Petitions Attorney  
Office of Petitions



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COMMISSIONER FOR PATENTS  
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Chris Rau  
3233 East Miraloma Avenue  
Anaheim, CA 92806

In re Application of  
Sullivan, Rau, Adkins, and Hughes :  
Application No. 10/054,374 :  
Filed: January 22, 2002 : LETTER  
Attorney Docket Number: Honeywell No.A11- :  
26110US :  
For: High Density 3-D Integrated Circuit Package :

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**OFFICE OF PETITIONS**

Dear Mr. Rau:

Mr. James Rau (deceased) is named as a joint inventor in the above-identified United States patent application filed under the provisions of 35 U.S.C. 116 (United States Code) and 37 CFR 1.47(a), Rules of Practice in Patent Cases. Should a patent be granted on the application he will be designated therein as a joint inventor.

The legal heirs or legal representatives of Mr. Rau's estate are entitled to inspect any paper in the file wrapper of the application, order copies of all or any part thereof (at a prepaid cost per 37 CFR 1.19) or make your position of record in the application. Alternatively, they may arrange to do any of the preceding through a registered patent attorney or agent presenting written authorization from them. If the heir or legal representative of Mr. Rau cares to join the application on behalf of Mr. Rau, counsel of record (see below) would presumably assist you. Joining in the application would entail the filing of an appropriate oath or declaration pursuant to 37 CFR 1.63.

Telephone inquiries regarding this communication should be directed to Petitions Attorney Kenya A. McLaughlin at (703) 305-0010. Requests for information regarding this application should be directed to the File Information Unit at (703) 308-2733. Information regarding how to pay for and order a copy of the application, or a specific paper in the application, should be directed to Certification Division at (703) 308-9726 or 1-800-972-6382 (outside the Washington D.C. area).

Kenya A. McLaughlin  
Petitions Attorney  
Office of Petitions

Dennis C. Bremer  
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**IN THE UNITED STATES PATENT AND TRADEMARK OFFICE**  
(Case No. A11-26110US)

**PATENT**

jc997 U.S. PTO  
10/054374  
01/22/02

In re Application of: )  
 )  
Sullivan et al. )  
 ) Group Art Unit: TBA  
Serial No.: TBA )  
 ) Examiner: TBA  
Filed: January 22, 2002 )  
 )  
For: HIGH DENSITY 3-D INTEGRATED )  
CIRCUIT PACKAGE )

Commissioner for Patents  
Washington, D.C. 20231

**PETITION UNDER 37 C.F.R. § 1.47(a)**

Sir:

Because one of the inventors (James E. Rau) for the present application is deceased, and because attempts to secure the signature of the executor of the deceased's estate were not successful after diligent effort, Applicant submits this Petition Under 37 C.F.R. § 1.47(a) to make application on behalf of the deceased inventor.

As proof of diligence, Applicants submit the accompanying Declaration of Dennis C. Bremer, including its Exhibit A setting forth attempts to obtain the signature of the executor of the deceased's estate. Several attempts were made to contact the deceased's son, Chris Rau.

Chris Rau's last known address is 3233 East Miraloma Avenue, Anaheim, California 92806. James E. Rau's last known address is unknown, but is believed to be in California. A record from the Social Security Administration Death Index is attached for a James E. Rau having a social security number issued in California. While Applicants have been unable to confirm that this is the same "James E. Rau" as the named inventor in this case, the date of death falls within a time frame believed to be accurate.

The required petition fee of \$130.00 under 37 C.F.R. § 1.17(h) is enclosed herewith.

01/29/2002 RHEBRAHT 00000065 10054374

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